

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



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## Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L51909DL1984PLC288239

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AACCR1459F

(ii) (a) Name of the company

SPICEJET LIMITED

(b) Registered office address

Indira Gandhi International Airport  
Terminal 1D  
New Delhi  
South West Delhi  
Delhi  
110037

(c) \*e-mail ID of the company

Investors@spicejet.com

(d) \*Telephone number with STD code

01243913939

(e) Website

www.spicejet.com

(iii) Date of Incorporation

09/02/1984

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally

(vii) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

24/12/2020

(b) Due date of AGM

30/09/2020

(c) Whether any extension for AGM granted

☒ Yes ☐ No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

R50768944

Pre-fill

(e) Extended due date of AGM after grant of extension

31/12/2020

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	H	Transport and storage	H4	Air transport	80.8

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

7

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
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1	SPICEJET MERCHANDISE PRIVATE LIMITED	U52520DL2016PTC303136	Subsidiary	100
2	SPICEJET TECHNIC PRIVATE LIMITED	U74999DL2016PTC306819	Subsidiary	100
3	CANVIN REAL ESTATE PRIVATE LIMITED	U70109DL2017PTC326068	Subsidiary	100
4	SPICEJET INTERACTIVE PRIVATE LIMITED	U72900DL2019PTC349253	Subsidiary	100
5	SPICE CLUB PRIVATE LIMITED	U74999DL2019PTC356527	Subsidiary	100
6	SPICE SHUTTLE PRIVATE LIMITED	U62100DL2019PTC356667	Subsidiary	100
7	SPICEEXPRESS AND LOGISTICS PRIVATE LIMITED	U63030DL2019PTC359462	Subsidiary	100

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,500,000,000	600,082,299	600,076,299	600,076,299
Total amount of equity shares (in Rupees)	15,000,000,000	6,000,822,990	6,000,762,990	6,000,762,990

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	1,500,000,000	600,082,299	600,076,299	600,076,299
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	15,000,000,000	6,000,822,990	6,000,762,990	6,000,762,990

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	599,718,356	5,997,183,560	5,997,183,560	
<b>Increase during the year</b>	357,943	3,579,430	3,579,430	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	357,943	3,579,430	3,579,430	
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0

iv. Others, specify				
<b>At the end of the year</b>	600,076,299	6,000,762,990	6,000,762,990	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting				<input style="width: 100%;" type="text"/>			
Date of registration of transfer (Date Month Year)				<input style="width: 100%;" type="text"/>			
Type of transfer		<input style="width: 50%;" type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input style="width: 50%;" type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input style="width: 50%;" type="text"/>	
Ledger Folio of Transferor				<input style="width: 100%;" type="text"/>			
Transferor's Name		<input style="width: 50%;" type="text"/>		<input style="width: 50%;" type="text"/>		<input style="width: 50%;" type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input style="width: 100%;" type="text"/>			
Transferee's Name		<input style="width: 50%;" type="text"/>		<input style="width: 50%;" type="text"/>		<input style="width: 50%;" type="text"/>	
		Surname		middle name		first name	
Date of registration of transfer (Date Month Year)				<input style="width: 100%;" type="text"/>			
Type of transfer		<input style="width: 50%;" type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input style="width: 50%;" type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input style="width: 50%;" type="text"/>	

Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,659,460,000
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			6,384,080,000
Deposit			0
<b>Total</b>			11,043,540,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

## V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

### (i) Turnover

123,586,410,000

### (ii) Net worth of the Company

-15,792,650,000

## VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	359,609,068	59.93	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	



10.	Others	0	0	0	
	<b>Total</b>	359,609,068	59.93	0	0

**Total number of shareholders (promoters)**

3

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	136,482,240	22.74	0	
	(ii) Non-resident Indian (NRI)	6,908,570	1.15	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	614,012	0.1	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	10,284,897	1.71	0	
7.	Mutual funds	63,309,564	10.55	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	17,684,995	2.95	0	
10.	Others                      Trusts, Non Resident Indian	5,182,953	0.86	0	
	<b>Total</b>	240,467,231	40.06	0	0

**Total number of shareholders (other than promoters)**

194,610

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

194,613

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

11

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
FLEDGELING NOMINE	CITIBANK N A, CUSTODY SERVICES			35,300	0.01
ROBERT FLEMING NO	CITI BANK N.A. (CUSTODY SERVICE			24,900	0
ADVANTAGE ADVISER	STATE BANK OF INDIA GLOBALCU			15,800	0
FLEDGELING NOMINE	CITIBANK N A (CUSTODY SERVICES			12,200	0
I L F MAURITIUS	CITIBANK NA (CUSTODY SERVICES			9,000	0
PIONEER II	CITI BANK N.A. {CUSTODY SERVICE			5,300	0
JARDINE FLEMING INT	CITIBANK N A (CUSTODY SERVICES			2,400	0
I L F MAURITIUS	CITIBANK N.A (CUSTODY SERVICES			2,100	0
PIONEER INTERNATIO	CITI BANK N.A. (CUSTODY SERVICE			1,100	0
FLEDGELING NOMINE	CITIBANK N A, CUSTODY SERVICES			700	0
ROBERT FLEMING NO	CITIBANK N.A. (CUSTODY SERVICE			200	

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	3
Members (other than promoters)	152,571	194,610
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL****(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	1	1	1	50.72	0
<b>B. Non-Promoter</b>	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0

(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	4	1	4	50.72	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
AJAY SINGH	01360684	Managing Director	304,333,450	
SHIWANI SINGH	05229788	Director	6,001	
ANURAG BHARGAVA	01297542	Director	0	
MANOJ KUMAR	00072634	Director	8,000	
AJAY CHHOTELAL AG	00001122	Director	15,000	
KIRAN KOTESHWAR	AIXPK2189B	CFO	161,685	
CHANDAN SAND	ASYPS3888C	Company Secretar	208,431	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
MANOJ KUMAR	00072634	Director	28/05/2019	Appointment
HARSHA VARDHANA S	07110296	Director	01/10/2019	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	30/09/2019	149,688	1,562	59.62

**B. BOARD MEETINGS**

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	28/05/2019	5	3	60
2	09/08/2019	6	6	100
3	13/11/2019	5	5	100
4	14/02/2020	5	4	80

**C. COMMITTEE MEETINGS**

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	28/05/2019	4	2	50
2	AUDIT COMM	09/08/2019	4	4	100
3	AUDIT COMM	13/11/2019	3	3	100
4	AUDIT COMM	14/02/2020	3	2	66.67
5	NOMINATION	28/05/2019	4	2	50
6	NOMINATION	09/08/2019	4	4	100
7	STAKEHOLDER	14/02/2020	3	2	66.67
8	CORPORATE	14/02/2020	3	2	66.67

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	24/12/2020
								(Y/N/NA)
1	AJAY SINGH	4	4	100	4	4	100	Yes
2	SHIWANI SIN	4	2	50	8	3	37.5	Yes
3	ANURAG BH/A	4	4	100	4	4	100	Yes
4	MANOJ KUM/A	3	3	100	1	1	100	Yes
5	AJAY CHHOT	4	4	100	7	7	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	AJAY SINGH	MANAGING DIF	72,000,000	0	0	0	72,000,000
	Total		72,000,000	0	0	0	72,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	CHANDAN SAND	COMPANY SEC	19,520,000	0	0	0	19,520,000
2	KIRAN KOTESHW/A	CHIEF FINANCI	23,450,000	0	0	0	23,450,000
	Total		42,970,000	0	0	0	42,970,000

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANURAG BHARGA	DIRECTOR	0	0	0	400,000	400,000
2	HARSHA VARDHA	DIRECTOR	0	0	0	100,000	100,000
3	AJAY CHHOTELAL	DIRECTOR	0	0	0	400,000	400,000
4	MANOJ KUMAR	DIRECTOR	0	0	0	300,000	300,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
5	SHIWANI SINGH	DIRECTOR	0	0	0	200,000	200,000
	Total		0	0	0	1,400,000	1,400,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☐ Yes ☒ No

B. If No, give reasons/observations

Refer the attached note on the Annual Return for financial year 2019-20

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MAHESH KUMAR GUPTA

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

1999

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

AJAY  
SINGH

DIN of the director

**To be digitally signed by**

CHANDA  
N SAND

- ☒ Company Secretary  
☐ Company secretary in practice

Membership number Certificate of practice number **Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

**List of attachments**

AGM Extention\_Certificate\_GNL\_1\_260820  
MGT-8\_SpiceJet Ltd\_31032020.pdf  
List of Transfers\_SpiceJet Limited\_FY 201  
Clarification Note to point IX D\_Signed.pdf  
Clarification note\_Point No XI\_MGT 7\_241  
List of FII shareholders\_31032020\_Signed

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**







सत्यमेव जयते

**GOVERNMENT OF INDIA  
MINISTRY OF CORPORATE AFFAIRS**

Office of the Registrar of Companies  
4th Floor, IFCI Tower 61, New Delhi, Delhi, India, 110019

DATED : 26-08-2020

IN THE MATTER OF M/S SPICEJET LIMITED CIN L51909DL1984PLC288239

AND

IN THE MATTER OF EXTENSION UNDER SECTION 96(1) OF THE COMPANIES ACT, 2013

The Company has closed its accounting year on 31-03-2020 and the Annual general meeting of the company is due to be held on 30-09-2020 as per requirements of section 96 of the Companies Act, 2013. The company has made an application vide SRN R50768944 on 21-08-2020 requesting for an extension of time for the purpose of holding AGM on the following grounds

Extension is allowed for 3 months.

Keeping in view, the aforesaid circumstances due to which company cannot hold its Annual General Meeting on time, extension 3 months 0 days is considered.

**ORDER**

Under the power vested in the undersigned by virtue of section 96(1) read with second proviso attached thereto extension of 3 months 0 days is hereby granted. However, the company is hereby advised to be careful in future in compliance of the provisions of the Companies Act, 2013.



Yours faithfully,  
**SANTOSH KUMAR**

Registrar of Companies  
RoC - Delhi

Mailing Address as per record available in Registrar of Companies office:

**SPICEJET LIMITED**

Indira Gandhi International Airport, Terminal 1D, New Delhi, South West  
Delhi, Delhi, India, 110037



**Note:** This letter is to be generated only when the application is approved by RoC office



**SpiceJet Limited**  
319 Udyog Vihar, Phase-IV,  
Gurugram 122016, Haryana, India.  
Tel: + 91 124 3913939  
Fax: + 91 124 3913844


**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**D. \*ATTENDANCE OF DIRECTORS**

During the financial year 2019-20, Mr. Harsha Vardhana Singh (DIN- 07110296) has resigned from the directorship of the Company effective from October 1, 2019. During April 1, 2019 to October 1, 2019 he has attended the following Board Meetings and Committee Meetings:

Sr. No.	Name of the director	Board Meetings			Committee Meetings		
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance
1.	Harsha Vardhana Singh	2	1	50%	4	2	50%

For SpiceJet Limited

  
Chandan Sand  
Sr. VP - (Legal) & Company Secretary  
Membership No.: FCS 9188  
Address: 62/10, Primrose, Vatika City, Sector- 49, Sohna Road, Gurugram





**SpiceJet Limited**  
319 Udyog Vihar, Phase-IV,  
Gurugram 122016, Haryana, India.  
Tel: + 91 124 3913939  
Fax: + 91 124 3913844

**I. REGISTRATION AND OTHER DETAILS**

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

**B. If No, give reasons/observations:**

Following are the observations in the Certificate issued pursuant to Section 92(2) of the Companies Act, 2013 read with Rule 11(2) of the Companies (Management & Administration) Rules, 2014 for financial year 2019-20:

- a) There were few instances of delay in filing of forms with the Registrar of Companies under the Act which were regularized by payment of additional fee.
- b) The Company had, in earlier financial years, received amounts aggregating Rs.5,790.9 Million from Mr. Kalanithi Maran and M/s KAL Airways Private Limited together, ("Erstwhile Promoters") as advance money towards proposed allotment of certain securities (189,091,378 share warrants and 3,750,000 non-convertible cumulative redeemable preference shares, issuable based on approvals to be obtained), to be adjusted at the time those securities were to be issued. Pursuant to the legal proceedings in this regard before the Hon'ble High Court of Delhi ("Court") between the Erstwhile Promoters, the present promoter and the Company, the Company was required to secure an amount of Rs.3,290.89 Million through a bank guarantee in favour of the Registrar General of the Court ("Registrar") and to deposit the balance amount of Rs.2,500.0 Million with the Registrar. The Company has complied with these requirements as at March 31, 2018.

The parties to the aforementioned litigation concurrently initiated arbitration proceedings before a three-member arbitral tribunal (the "Tribunal"), which pronounced its award on July 20, 2018 (the "Award"). In terms of the Award, the Company was required to (a) refund an amount of approximately Rs.3,082.19 million to the counterparty, (b) explore the possibility of allotting preference shares in respect of approximately Rs.2,708.70 million, failing which, refund such amount to the counterparty, and (c) pay interest calculated to be Rs.924.66 million (being interest on the amount stated under (a) above, in terms of the Award). The amounts referred to under (a) and (b) above, aggregating Rs.5,790.89 million, continue to be carried as current liabilities without prejudice to the rights of the Company under law. Further, the Company was entitled to receive from the counterparty, under the said Award, an amount of Rs.290.00 million of past interest/servicing charges. During the quarter ended March 31, 2019, the Court has ordered release of Rs.2,500 million, out of the amount deposited by the Company, to the counterparty, subject to certain conditions as enumerated by the Court in its order. Further, pursuant to an order of the Court dated September 20, 2019, the Company has remitted a further Rs.580 million out of the guarantee placed with the Court, to the counterparty, in October 2019. The Company, its present promoter and the counterparties have challenged various aspects of the Award, including the above-mentioned interest obligations and rights, petitions for which have been admitted by the Court and notices issued, as a result of which the matter is currently sub-judice.





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Further, the Court vide its order dated September 2, 2020 in the said matter, directed the Company to deposit an amount of Rs. 2429.37 million of interest component under the Award (including the amount of Rs.924.66 million provided for as indicated earlier, without prejudice to the rights of the Company under law). The Company preferred a Special Leave Petition before the Hon'ble Supreme Court of India against the aforesaid order and the Hon'ble Supreme Court of India pursuant to its order dated November 6, 2020, has stayed the deposit of Rs. 2429.37 million. The effects of the matter stated above may attract the consequent provisions (including penal provisions) of applicable provisions of law, including deeming provisions, relating to acceptance of deposits.

For SpiceJet Limited

Chandan Sand  
Sr. VP - (Legal) & Company Secretary  
Membership No.: FCS 9188



Address: 62/10, Primrose, Vatika City, Sector- 49, Sohna Road, Gurugram

SPICET LTD List of Top 10 Shareholders as on 31/03/2020 (FIFTH FPC/FPI)										
SINO	DPID	FOLIO/CLID	NAME/JOINT NAME(S)/ADDRESS	ADDRESS	COUNTRY OF INCORPORATION	REGN. NO WITH SEBI	HOLDING	% TO EQT	MINOR CODE	PAN NO
1	IN300054	10105077	ISHARES CORE EMERGING MARKETS MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0520-15	1489634	0.25 FPC		AADC1923N
2	IN300167	10143100	EASTSPRING INVESTMENTS ASIAN SMALLER COMPANIES FUN D	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INLUFP140317	1282356	0.21 FPC		AABA0546M
3	IN303173	20000220	PREMIER INVESTMENT FUND LIMITED	400001 C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	INDIA	INMUFP067016	1100000	0.18 FPC		AACP9387L
4	IN300167	10049693	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP083617	727505	0.12 FPC		AAACC040K
5	IN300167	10027902	EASTSPRING INVESTMENTS INDIA INFRASTRUCTURE EQUITY OPEN LIMITED	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INMUFP019617	697664	0.12 FPC		AAECF0236A
6	IN300167	10081087	MV SCIF MAURITIUS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INMUFP003417	630585	0.11 FPC		AAGCM4803N
7	IN300054	10080907	FIRST TRUST EMERGING MARKETS SMALL CAP ALPHADEX FU ND	400001 CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0630-15	441805	0.07 FPC		AAATF4827G
8	IN303438	10016687	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM 8 MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP172617	428913	0.07 FPC		AAAEE3545P
9	IN300054	10104453	ISHARES INDIA SC MAURITIUS COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0503-15	401555	0.07 FPC		AACCF7887F
10	IN303438	10017116	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM 8 MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP176217	398678	0.07 FPC		AAAEE3565K
11	IN300054	10105204	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-IR-FP-0734-15	366491	0.06 FPC		AADC17836E
12	IN300167	10078107	UPS GROUP TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP013716	262324	0.04 FPC		AAATU3821D
13	IN300167	10046432	EASTSPRING INVESTMENTS INDIA CONSUMER EQUITY OPEN LIMITED	400001 DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INMUFP019217	251934	0.04 FPC		AAECF6565A
14	IN300095	11995573	V E C INDIAN SPECIAL SITUATIONS MASTER FUND LTD	IL And FS Securities Services Ltd IL And FS House, Plot No 14 Rajhaji Vihar, Chandivli, Andheri E Mumbai 400072	INDIA	INCYFP107015	210700	0.04 FPC		AAFCV0725P
15	IN300167	10045319	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP131616	157067	0.03 FPC		AAHTS4805N
16	IN300054	10085015	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL C AP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0952-15	152706	0.03 FPC		AACTN1585B
17	IN300167	10080770	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP023316	138069	0.02 FPC		AAJTS4147D
18	IN300142	10771432	NOMURA SINGAPORE LIMITED ODI	400001 HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INDIA	INSGFP004220	102500	0.02 FPC		AADCN2750N
19	IN303622	10006669	GUENTHER KLAR	COL. JUMA AMAN OBAID'S BLDG FLAT/107 318/4 AL KARAMA STREET DUBAI UAE 123698	OTHERS	INUEFP147916	75001	0.01 FPI		EKMPPK6907C
20	IN300167	10047132	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP022916	71880	0.01 FPC		AAHTS6002P
21	IN300167	10031513	SPDR S AND P EMERGING ASIA PACIFIC ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP131516	70872	0.01 FPC		AAGTS4583E
22	IN301524	30030153	LTS INVESTMENT FUND LTD	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	INDIA	INMUFP163516	69000	0.01 FPC		AACCL0500F
23	IN300054	10105132	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-IR-FP-2571-16	60509	0.01 FPC		AADC13486I
24	IN300054	10104429	ISHARES EMSC MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0519-15	51967	0.01 FPC		AACCS302Q
25	IN303438	10015477	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM 8	INDIA	INCHFP001417	49009	0.01 FPC		AACTP9573F

26	IN303438	10012152	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	MINDSPACE, MALAD W, MUMBAI 400064 JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP130016	48239	0.01 FPC	AAATP5186E
27	IN303438	10005451	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INAUFP233415	47486	0.01 FPC	AABTB6669D
28	IN300167	10113756	BLACKROCK AQUILA EMERGING MARKETS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUKFP322416	47198	0.01 FPC	AABTA6155F
29	IN303438	10016679	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND B	400001 JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP172517	41435	0.01 FPC	AAAEE3543M
30	IN303719	11040619	VALPRO INDIA OPPORTUNITIES FUND	400064 EDELWEISS CUSTODIAL SERVICE LTD 5TH FLOOR EDELWEISS HOUSE OFF CST ROAD KALINA MUMBAI MAHARASHTRA	INDIA	INMUFP207717	36000	0.01 FPC	AAGCV0146B
31	MLL000005		FLEDGELUNG NOMINEES INTL LTD.	400058 CITIBANK N.A., CUSTODY SERVICES BARODAWALA MANSION 81, DR ANNIE BEASANT RD PO, BOX 16586 WORLI BOMBAY	OTHERS		35900	0.01 FI	
32	IN300054	10168657	WELLS FARGO BANK DECLARATION OF TRUST ESTABLISHING INVESTMENT FUNDS FOR EMPLOYEE BENEFIT TRUSTS - WELLS FARGO FACTOR ENHANCED EMERGING MARKETS INDEX C	0 CITIBANK N.A., CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0753-19	35181	0.01 FPC	AAATW526RL
33	IN300167	10055675	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP022816	33468	0.01 FPC	AAITS0342X
34	IN303173	20020025	MKT CAPITAL LP	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG. NO. 21, 6TH FLR ZONE IV, CUSTODY SERV, INFINITY PARK GEN. AK VINDYA MARG, MALAD E, MUMBAI 400097	INDIA	INUSFP087115	25000	0.00 FPC	AAZFM8157D
35	MLL017000		ROBERT FLEMING NOMINEES LTD.	CITI BANK N.A., (CUSTODY SERVICES) C/O S.B.C. BARODAWALA MANSION, DR. ANNIE BEASANT RD, WORLI BOMBAY.	INDIA		24900	0.00 FI	
36	IN300054	10109192	MORGAN STANLEY ASIA (SINGAPORE) PTE.	400018 CITIBANK N.A., CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-SG-FP-0966-19	23178	0.00 FPC	AAECM3575F
37	IN300054	10004986	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	CITIBANK N.A., CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-UK-FP-1156-17	20613	0.00 FPC	AABCL0025A
38	IN300167	10124393	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INIRFP033015	19948	0.00 FPC	AAJCM5945N
39	IN300167	10121383	SSGA SPDR ETFS EUROPE 1 PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INIRFP026114	16563	0.00 FPC	AAUCS9527P
40	IN300167	10145507	WELLS FARGO FACTOR ENHANCED EMERGING MARKETS PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP231617	16524	0.00 FPC	AAATW4586B
41	IN300167	10130865	VIRGINIA RETIREMENT SYSTEM	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP158315	16158	0.00 FPC	AAATV3654P
42	MLL015197		ADVANTAGE ADVISERS INDIA FUND INC	400001 STATE BANK OF INDIA GLOBALCUSTODIAL SECURITIES SERVICE BRANCH 11ND FLR MAIN BR. BLDG SAMACHAR MARG BOMBAY	INDIA		15800	0.00 FI	
43	IN301524	30004172	RAM (LUX) SYSTEMATIC FUNDS - EMERGING MARKETS EQUITIES	400023 STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	INDIA	INLUFP226715	13799	0.00 FPC	AADCR3214M
44	MLL014000		FLEDGELUNG NOMINEES INTL LTD	400001 CITIBANK N.A. (CUSTODY SERVICES) C/O SULTANALLY'S BUS.CTR BARODAWALA MSN DR ANNIE BEASANT RD WORLI, BOMBAY	INDIA		12200	0.00 FI	
45	IN300142	10743212	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	400018 HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INDIA	INUSFP216615	10233	0.00 FPC	AAATP6710H
46	34200	1203470000021619	NITIN PRABHAKAR PALANDE	400063 145, SERANGOON AVE 3 # D6 - 06, THE SPRINGBLOOM	OTHERS		9500	0.00 FN	AAGPP4562J
47	MLL015615		ILF MAURITIUS	SINGAPORE SINGAPORE 556122 CITIBANK N.A. (CUSTODY SERVICES) C/O SULTANALLY'S BUSINESS CENTRE DR ANNIE BEASANT ROAD, WORLI, BOMBAY	INDIA		9000	0.00 FI	
48	IN303438	10011537	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	400018 JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP242515	7072	0.00 FPC	AAATF4143X
49	IN300167	10136410	EASTSPRING INVESTMENTS INDIA DISCOVERY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INLUFP119916	6960	0.00 FPC	AAAAEE675B
50	IN300167	10128284	STATE STREET GLOBAL EQUITY EX-US INDEX PORTFOLIO	400001 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP143615	6570	0.00 FPC	AAPT54453E

51	MLL017002	PIONEER II	400001 CITI BANK N.A. (CUSTODY SERVICES), C/O SULTANALLY'S BUSINESS CENTRE, DR. ANNIEBESANT RD, WORLI, BOMBAY.	INDIA		5300	0.00	FII	
52	IN300054 1003629	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVE STABLE MARKET INDEX FUND-NON LENDING	400018 CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	IN-US-FP-2461-15	5260	0.00	FPC	AABTN0934H
53	IN300167 10126258	SPDR MSCI ACWI IMI ETF	400098 DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP069815	3200	0.00	FPC	AAPT52593F
54	IN300167 10146165	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STAT E STREET GLOBAL ADVISORS	400001 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP242917	3037	0.00	FPC	AADC71007C
55	IN300167 10088144	INTEGRATED CORE STRATEGIES ASIA PTE LTD	400001 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INSGFP121916	2601	0.00	FPC	AACC4678X
56	MLL015200	JARDINE FLEMING INTL MGT INC	400001 CITIBANK N A (CUSTODY SERVICES) C/O SULTANALLY'S BUSINESS CENTRE ANNIEBESANT RD,POBOXNO 16586 BOMBAY	INDIA		2400	0.00	FII	
57	MLL016465	I L F MAURITIUS	400018 CITIBANK N.A (CUSTODY SERVICES) C/O SULTANALLY'S BUSINESS CENTRE DR ANNIEBESANT ROAD, WORLI, BOMBAY	INDIA		2100	0.00	FII	
58	IN301524 30042381	DAVOS INTERNATIONAL FUND	400018 STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	INDIA	INMUFP042414	1450	0.00	FPC	AADC7D742G
59	MLL017001	PIONEER INTERNATIONAL GROWTH FUND	400001 CITI BANK N.A. (CUSTODY SERVICES), C/O SULTANALLY'S BUSINESS CENTRE, DR. ANNIEBESANT RD, WORLI, BOMBAY.	INDIA		1100	0.00	FII	
60	MLL000004	FLEDGEUNG NOMINEES INTL.LTD./S/A J.F.M.LTD.	400018 CITIBANK N A, CUSTODY SERVICES BARODAWALA MANSION 81,DR ANNIE BEASANT RD PO.BOX16586 WORLI BOMBAY	OTHERS		700	0.00	FII	
61	40400 1204040000055027	KAMESH RAJARAM	0 C-2.MATHANGI JAYARAM APPTS NO-5/11 KESAVAVERUNAL SOUTH STREET, MYLAPORE CHENNAI TAMIL NADU 600004	INDIA		300	0.00	FN	AFPPR8255J
62	MLL017803	ROBERT FLEMING NOMINEES LTD.	400004 CITIBANK N.A. (CUSTODY SERVICES) C/O S. B. C., BARODAWALA MANSION, DR. ANNIEBESANT RD, WORLI, BOMBAY.	INDIA		200	0.00	FII	
TOTAL			400018			30294697	1.72		

Name: Chandan Sand

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Sohna Road, Gurugram -122018, Haryana



## SPICEJET LTD

Regd. Office: INDIRA GANDHI INTERNATIONAL AIR PORT TERMINAL 1D NEW DELHI 110037

CIN NO: L51909DL1984PLC288239

Date of closure of previous financial year : 31/03/2019

Sno	Date of Registration of Transfer of Shares	Type of Security	No of Shares	Nominal value (each in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
1	03/04/2019	1	100	10	MLL005015	DEEPAVALI D DESHMUKH	MLL052830	BHAVNA NITIN KOLHATKAR
2	03/04/2019	1	100	10	MLL001071	SATISH KUMAR	MLL052831	SAVITA RANI
3	03/04/2019	1	200	10	MLL014435	RAJESH G DEVIDASANI	MLL052832	SUNDER SHANKERDAS DEVIDASANI
4	03/04/2019	1	5	10	MLL050110	KISHORE KUMAR C SHAH	MLL052833	KISHORE KUMAR C SHAH
5	03/04/2019	1	5	10	MLL050117	KISHORE KUMAR C SHAH	MLL052833	KISHORE KUMAR C SHAH
6	03/04/2019	1	5	10	MLL050124	KISHORE KUMAR C SHAH	MLL052833	KISHORE KUMAR C SHAH
7	03/04/2019	1	5	10	MLL050116	KISHORE KUMAR C SHAH	MLL052833	KISHORE KUMAR C SHAH
8	03/04/2019	1	5	10	MLL050115	KISHORE KUMAR C SHAH	MLL052833	KISHORE KUMAR C SHAH
9	03/04/2019	1	5	10	MLL050121	KISHORE KUMAR C SHAH	MLL052833	KISHORE KUMAR C SHAH
10	03/04/2019	1	5	10	MLL050113	KISHORE KUMAR C SHAH	MLL052834	KISHORE KUMAR C SHAH
11	03/04/2019	1	100	10	MLL033201	SONIA GUPTA	MLL052836	SHAMA LATA GUPTA
12	03/04/2019	1	1	10	MLL046917	KASHMIRI LAL CHOPRA	MLL052837	SUMAN CHOPRA
13	17/04/2019	1	1	10	MLL048950	SAIF UL HASAN	MLL052838	REHANA RAZA
14	17/04/2019	1	200	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
15	17/04/2019	1	200	10	MLL017515	CREDIT CAPITAL INVESTMENT TRUST CO LTD.	MLL052840	MOHIT KUMAR
16	17/04/2019	1	200	10	MLL032650	RAMESH KUMAR MEHTA	MLL052840	MOHIT KUMAR
17	17/04/2019	1	100	10	MLL000036	SHIV SHANKER GARG	MLL052840	MOHIT KUMAR
18	17/04/2019	1	100	10	MLL000648	NISHANT GARG	MLL052840	MOHIT KUMAR
19	17/04/2019	1	100	10	MLL027653	C. K. CHANDWANI	MLL052840	MOHIT KUMAR
20	17/04/2019	1	100	10	MLL028790	JAYA MANSUKHANI	MLL052840	MOHIT KUMAR
21	17/04/2019	1	100	10	MLL037127	PARSANTA MAHAJAN	MLL052839	PARSANTA MAHAJAN
22	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
23	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
24	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
25	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052841	MOHIT KUMAR
26	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
27	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
28	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
29	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
30	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
31	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
32	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
33	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
34	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
35	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
36	17/04/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052840	MOHIT KUMAR
37	23/04/2019	1	400	10	MLL027842	ANIL KUMAR GUPTA	MLL052828	PIYA GUPTA
38	23/04/2019	1	600	10	MLL008044	SHARDA	MLL052829	NISHITA SHAH
39	23/04/2019	1	400	10	MLL005338	SADIQALI I PATEL	MLL052842	SADIQALI I PATEL
40	30/04/2019	1	1	10	MLL046935	RAJESH CHOPRA	MLL052837	SUMAN CHOPRA
41	30/04/2019	1	1	10	MLL046947	RITU CHOPRA	MLL052837	SUMAN CHOPRA
42	30/04/2019	1	1	10	MLL046918	NITIN CHOPRA	MLL052837	SUMAN CHOPRA
43	30/04/2019	1	1	10	MLL047010	KASHMIRI LAL CHOPRA	MLL052837	SUMAN CHOPRA
44	30/04/2019	1	1	10	MLL047007	NITIN CHOPRA	MLL052837	SUMAN CHOPRA
45	30/04/2019	1	1	10	MLL046916	JYOTI CHOPRA	MLL052837	SUMAN CHOPRA
46	07/05/2019	1	300	10	MLL007539	JAYNTI LAL T SHAH	MLL052843	SURESH RAVASA
47	22/05/2019	1	100	10	MLL041577	SHASHI ARORA	MLL052845	SHASHI ARORA



48	22/05/2019	1	200	10	MLL007072	PRAVEEN KUMAR	MLL050207	LALITHA KUMARI K SHAH
49	05/06/2019	1	100	10	MLL028194	KETKI KIRAN CHANANA	MLL052846	KETKI KIRAN CHANANA
50	11/06/2019	1	100	10	MLL030477	AJAY GUPTA	MLL052847	VUJAY KUMAR GUPTA
51	19/06/2019	1	300	10	MLL033130	RAVINDRA AGARWAL	MLL052848	MAINA AGARWAL
52	26/06/2019	1	100	10	MLL038735	JUDE LOUIS CORDA	MLL052849	MADHU HARSHAD MEHTA
53	17/07/2019	1	300	10	MLL006103	BABULAL JAIN	MLL052850	SUSHILA DEVI JAIN
54	17/07/2019	1	300	10	MLL012981	BANWARI LAL TIWARI	MLL052851	RENU B TIWARI
55	06/08/2019	1	100	10	MLL029660	RATHIN KUMAR JANA	MLL052852	PARITOSH KUMAR MAITRA
56	06/08/2019	1	100	10	MLL032783	VUJAY KUMAR GUPTA	MLL052847	VUJAY KUMAR GUPTA
57	06/08/2019	1	100	10	MLL029343	VUJAY KUMAR GUPTA	MLL052847	VUJAY KUMAR GUPTA
58	28/08/2019	1	200	10	MLL018579	SAROJ BALA SINGH	MLL052853	SAROJ BALA SINGH
59	03/09/2019	1	500	10	MLL010388	UDAY GANAPATI DURGAKERI	MLL052854	SUJATA UDAY DURGAKERI
60	10/09/2019	1	200	10	MLL002424	JAYAKUMAR R SHETH	MLL052855	GITA NITIN SHETH
61	24/09/2019	1	100	10	MLL006423	SAUNJI RAM GUPTA	MLL052856	ASHOK KUMAR GUPTA
62	16/10/2019	1	100	10	MLL034441	KAPIL GOSWAMY	MLL052857	MOHIT KUMAR
63	23/10/2019	1	100	10	MLL038025	DAKSHESH N SHAH	MLL052858	PARINA DAKSHESH SHAH
64	13/11/2019	1	100	10	MLL001760	EDAVAMPOIKIEL VARGHESE RAY	MLL052859	ALEYAMMA RAY
65	27/11/2019	1	200	10	MLL025578	ALIYAZGAR SABUWALA	MLL052860	ABDEALI FIDAHUSEIN SABUWALA
66	11/03/2020	1	300	10	MLL011166	NAGESH HEGDE M	MLL052866	H SEETHA BAI

**CHANDAN SAND**

Digitally signed by CHANDAN SAND  
DN: c=IN, o=Personal,  
postalCode=122018, st=HARYANA,  
serialNumber=26d0d80c2cde3158  
1655c734550541c792b47ab5b566a  
5179d0b394e481, cn=CHANDAN  
SAND  
Date: 2021.02.18 18:08:17 +05'30'

Name: Chandan Sand

Designation: Sr. VP (Legal) & Company Secretary

FCS No.: 9188

Address: 62/10, Primrose Vatika City, Sector 49, Sohna Road,  
Gurugram -122018, Haryana



# Mahesh Gupta & Company

## Company Secretaries

Wadhwa Complex, Chamber No. 110, Ground Floor, D-288-289/10, Laxmi Nagar, Delhi-110092  
Phone : 011-49603085; 9312406331; E-Mail: [mareshgupta.co@gmail.com](mailto:mareshgupta.co@gmail.com)

### Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **SpiceJet Limited** (the "Company") as required to be maintained under the Companies Act, 2013 (the "Act") and the rules made thereunder for the financial year ended on March 31, 2020. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act and rules made there under in respect of:
  1. its status under the Act.
  2. maintenance of registers/records and making entries therein within the time prescribed therefor.
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time *except delay in filing of certain forms with Registrar of Companies.*
  4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed. We report that during the aforesaid financial year, no resolution was passed through circulation or postal ballot.
  5. closure of Register of Members / Security holders, as the case may be. We report that during the aforesaid financial year, the Register of Members and Share Transfer Books was closed from September 24, 2019 to September 30, 2019(both days inclusive).
  6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act.



7. contracts/arrangements with related parties as specified in section 188 of the Act. *On the basis of explanation given to us, all related parties transactions during the aforesaid financial year were in ordinary course of business on arm's length basis.*
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances *(no event in respect of buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities took place during the aforesaid financial year).*
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act *(no event under this para took place during the financial year).*
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act *(not applicable for aforesaid financial year).*
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them. We report that during the aforesaid financial year, Mr. Manoj Kumar was appointed as Independent Director effective May 28, 2019 and Mr. Harsha Vardhana Singh (Independent Director) has resigned from the directorship of the Company with effect from October 1, 2019.
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act.
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits subject to following observations:

*The Company had, in earlier financial years, received amounts aggregating ₹ 5,790.9 Million from Mr. Kalanithi Maran and M/s KAL Airways Private Limited together, ("Erstwhile Promoters") as advance money towards proposed allotment of certain securities (189,091,378 share warrants and 3,750,000 non-convertible cumulative redeemable preference shares, issuable based on approvals to be obtained), to be adjusted at the time those securities were to be issued. Pursuant to the legal proceedings in this regard before the Hon'ble High Court of Delhi ("Court") between the Erstwhile Promoters, the present promoter and the Company, the Company was required to secure an amount of ₹ 3,290.89 Million through a bank guarantee in favour of the Registrar General of the Court ("Registrar") and to deposit the balance amount of ₹ 2,500 Million with the Registrar. The Company has complied with these requirements as at March 31, 2018.*





The parties to the aforementioned litigation concurrently initiated arbitration proceedings before a three-member arbitral tribunal (the "Tribunal"), which pronounced its award on July 20, 2018 (the "Award"). In terms of the Award, the Company was required to (a) refund an amount of approximately ₹ 3,082.19 million to the counterparty, (b) explore the possibility of allotting preference shares in respect of approximately ₹ 2,708.70 million, failing which, refund such amount to the counterparty, and (c) pay interest calculated to be ₹ 924.66 million (being interest on the amount stated under (a) above, in terms of the Award). The amounts referred to under (a) and (b) above, aggregating ₹ 5,790.89 million, continue to be carried as current liabilities without prejudice to the rights of the Company under law. Further, the Company was entitled to receive from the counterparty, under the said Award, an amount of ₹ 290.00 million of past interest/servicing charges. During the quarter ended March 31, 2019, the Court has ordered release of ₹ 12,500 million, out of the amount deposited by the Company, to the counterparty, subject to certain conditions as enumerated by the Court in its order. Further, pursuant to an order of the Court dated September 20, 2019, the Company has remitted a further ₹ 580 million out of the guarantee placed with the Court, to the counterparty, in October 2019. The Company, its present promoter and the counterparties have challenged various aspects of the Award, including the above-mentioned interest obligations and rights, petitions for which have been admitted by the Court and notices issued, as a result of which the matter is currently sub-judice.

Further, the Court vide its order dated September 2, 2020 in the said matter, directed the Company to deposit an amount of ₹ 2,429.37 million of interest component under the Award (including the amount of ₹ 924.66 million provided for as indicated earlier, without prejudice to the rights of the Company under law). The Company preferred a Special Leave Petition before the Hon'ble Supreme Court of India against the aforesaid Order and the Hon'ble Supreme Court of India pursuant to its order dated November 6, 2020, has stayed the deposit of ₹ 2,429.37 million.

The effects of the matter stated above may attract the consequent provisions (including penal provisions) of applicable provisions of law, including deeming provisions, relating to acceptance of deposits.

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable.
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act. We report that as March 31, 2020, the Company has made an investment of ₹ 0.10 million each in equity shares of its wholly owned subsidiaries namely SpiceJet Merchandise Private Limited, SpiceJet Technic Private Limited, Carvin Real Estate Private Limited; SpiceJet Interactive Private Limited; Spice Club Private Limited; Spice Shuttle Private Limited and SpiceXpress and Logistics Private Limited. We also report that as on March 31, 2020, the Company has made an investment of ₹ 10.50 million in class B-shares of Aeronautical Radio of Thailand Limited to become member airline for availing advantageous rate on air navigation charges in Thailand.



18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company *(no event under this para took place during the financial year)*.

For Mahesh Gupta & Company  
Company Secretaries





Mahesh Kumar Gupta  
Proprietor  
FCS: 2870; C.P.: 1999  
UDIN: F002870B002932995

Place: Delhi

Date: February 16, 2021